I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient periods, as first class mail in an envelope addressed to:

Commissioner for Patents
Alexandria, VA 22313-1450
on 12 November 2003

Date of Deposit

C. Noel Kaman

Name of applicant, assignee or Registered Representative

Signature

Date of Signature

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Our Case No.: 10466/134

P2548P1C15

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner: Ruixiang Li

Group Art Unit No.: 1646

In re Application of:

Baker et al.

Serial No.: 09/944,884

Filing Date: 08/31/2001

For:

Secreted and transmembrane polypeptides and nucleic acids

encoding the same

FIRST SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Alexandria, VA 22313-1450

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this First Supplemental Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

11/18/2003 ZJUHAR1 00000135 09944884

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The references now cited are the following:

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10/2/2
TECH CENTER 1600/2900

No.	Date	Name			
B1	1 December 1998	Baker, K.P. et al, GenBank Accession No. P_AAX80043			
B2	1 December 1999 Baker, K.P. et al, GenBank Accession No. P_AAA4955				
B3	2001 Yamada, S. et al, GenBank Accession No, NM_017680				
B4	15 February 2000 Kawabata, A. et al, GenBank Accession No. AK000136				
BB1	1 December 1999	Baker, K.P. et al, GenBank Accession No. P_AAB01311			
BB2	2001 Lorenzo, et al., GenBank Accession No. AAK35161				
BB3	1 December 1998 Baker, K.P. et al, GenBank Accession No. P_AAY17820				

In accordance with 37 C.F.R. § 1.97(g),(h), this First Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

This First Supplemental Information Disclosure Statement is being filed in accordance with 37 C.F.R. § 1.97(c). The Commissioner is hereby authorized to deduct \$180.00 for the fee set forth in 37 C.F.R. §§ 1.97(c) and 1.17(p) from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. Should any other fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to these material, the Commissioner is hereby authorized to deduct those fees from Brinks Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in the present case.

Respectfully submitted,

C. Noel Kaman

Registration No. 51,857 Attorney for Applicant(s)

P.O. Box 10395 Chicago, IL 60610

(312) 321-4200

:		
SERIAL NO.		CASE NO.
	09/944,884	10466/134
FILING DATE		GROUP ART UNIT
	08/31/2001	1646
	-	
APPLICANT(S):	Baker et al.	
	FILING DATE	09/944,884 FILING DATE

REFERENCE DES	SIGNATION U.S	. PATENT DOCU	MENTS		
EXAMINER INITIAL	DOCUMENT NUMBER Number-Kind Code (if known)	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
					
		-			

FOREIGN PATENT DOCUMENTS EXAMINER INITIAL DOCUMENT NUMBER Number-Kind Code (if known) DATE COUNTRY SUBCLASS TRANSLATION YES OR NO

EXAMINER INITIAL	OTHER ART – NON PATENT LITERATURE DOCUMENTS (Include name of author, title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date page(s), volume-issue number(s), publisher, city and/or country where published.		
	B1	Baker, K.P. et al, GenBank Accession No. P_AAX80043, 1 December 1998	
	B2	Baker, K.P. et al, GenBank Accession No. P_AAA49551, 1 December 1999	
	В3	Yamada, S. et al, GenBank Accession No, NM_017680, 2001	
	B4	Kawabata, A. et al, GenBank Accession No. AK000136, 15 February 2000	
	BB1	Baker, K.P. et al, GenBank Accession No. P_AAB01311, 1 December 1999	
	BB2	Lorenzo, et al., GenBank Accession No. AAK35161, 2001	
	BB3	Baker, K.P. et al, GenBank Accession No. P_AAY17820, 1 December 1998	

EXAMINER	DATE	CONSIDERED	
	• 1		

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Rev. Dec.-99